

Paul A. Swegle

April 21, 2025

Re: **Statement of Interest to Serve as Chair of the Securities Law Committee**

I, Paul Swegle, wish to serve as Chair of the Securities Law Committee in order to: (i) revive it from its apparent dormancy, (ii) restore its prior programming, and (iii) increase engagement of WSBA members with ongoing developments of interest involving the securities laws.

As a former staff member of the U.S. Securities and Exchange Commission, both in its Enforcement Division and its Corporate Finance Division, I have a strong background in state and federal securities laws and have always found them intriguing and professionally rewarding.

I am regularly engaged in securities law matters, primarily relating to financings and corporate acquisitions, but also equity incentive compensation arrangements, the formation of new companies, secondary securities transactions, and more esoteric issues involving cryptocurrencies and other instruments that challenge the definition of a security. I played a leading role in Porch Group's IPO that closed on December, 24, 2020.

I have long been a member of the Securities Law Committee, attending most of its events for many years. But I recently noticed that its events and activities seem to have ceased.

Reaching out to several fellow Committee members, I realized that I was not alone in sensing that the Committee has been in a period of dormancy in recent years.

I have been active in the WSBA virtually my entire career, having served two terms as Chair of the Corporate Counsel Section and one term as a Governor on the Board of Governors. During my career I have been involved as chair or speaker at countless CLEs and other professional events. I have my own publishing and seminar company named Business Law Seminar Group. I am also an adjunct law professor at both Seattle University School of Law and Gonzaga University School of Law, where I teach my own course, *Counseling Startups – Law, Regulation and Fundraising*.

In summary, I am eager to serve as Chair of the Securities Law Committee and to build it back up, increase WSBA member engagement with the Committee, and build upon the Committee's strong history of programming, including (i) hosting an annual "Meet the Regulators" CLE/conference in conjunction with the Washington State Securities Division, (ii) restoring the Committee's historical role as a leader in hosting and presenting the annual Northwest Securities Law Institute, and (iii) commenting on proposed securities-related legislation.

Sincerely,



Paul A. Swegle